


PATENT
Docket No. 356312000121

CERTIFICATE OF HAND DELIVERY

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Jeffery McCuller

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Hiroshi YORITA et al.

Serial No.: 09/716,991

Filing Date: November 22, 2000

For: MONOLITHIC CERAMIC FILTER

Examiner of Application:

M. Savage

Group Art Unit: 1723

INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §§ 1.97 and 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Numbers 08/094,904, filed July 22, 1993, 08/104,943, filed August 12, 1993 and 09/716, 991, and, accordingly, copies are not included

herewith. This protocol conforms with 37 CFR §1.98(d) and MPEP 609(A)(2). The Examiner is requested to make these documents of record in the application.

A copy of reference No. BE, JP-UM-A-57-57-191421 is attached hereto.

This Information Disclosure Statement is submitted:

After the mailing date of the first action on the merits and before the mailing of any final action. Accordingly, a fee in the amount of \$180.00 is due.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **356312000121**.

Dated: January 6, 2004

Respectfully submitted,

By: 

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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	09/716,991
				Filing Date	November 22, 2000
				First Named Inventor	Hiroshi YORITA
				Art Unit	1274
				Examiner Name	R. Popovics
Sheet	1	of	1	Attorney Docket Number	356312000121

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	5,454,947	10/1995	OLAPINSKI et al.	
	AB	4,420,316	12/1983	FROST et al.	
	AC	4,416,676	11/1983	MONTIERTH	
	AD	4,781,831	11/1988	GOLDSMITH	
	AE	5,009,781	04/1991	GOLDSMITH	
	AF	5,108,601	04/1992	GOLDSMITH	
	AG	4,069,157	01/1978	HOOVER et al.	
	AH	5,064,586	11/1991	HIGASHIJIMA	
	AI	5,149,475	09/1992	HORIKAWA et al.	
	AJ	3,712,473	01/1973	ELLENBERG	
	AK	3,737,043	06/1973	CLARKE	
	AL	4,233,351	11/1980	OKUMURA et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
	BA	JP 1-501534	06/1989		
	BB	WO 88/07398	10/1988		
	BC	WO 90/03831	04/1990		
	BD	JP 3-500386	01/1991		
	BE	JP-UM-A-57-191421			
	BF				
	BG				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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VA-54165